PTO/SB/21 (08-03) (AW 10/2003)

Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FORM** 

## **TRANSMITTAL**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission: 9

| Application Number   | 10/809,775              |  |
|----------------------|-------------------------|--|
| Filing Date          | March 25, 2004          |  |
| First Named Inventor | Mutsuko NICHOGI, et al. |  |
| Art Unit             | 2173                    |  |
| Examiner Name        | To Be Assigned          |  |
| Attorney Docket No.  | MAT-8526US              |  |

| EN  | CLOSURES (Check all that apply)  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| Fee Transmittal Form Fee Attached   | Drawing(s)  Licensing-related Papers   | After Allowance Communication to Group   |  |  |  |  |
| Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement   | Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund | Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosures: (please identify below): 1. return postcard |  |  |  |  |
| Certified Copy of Priority Document(s)  | CD, Number of CD(s)  | Declaration and POA     Formalities Letter   |  |  |  |  |
| Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53   | <u>Remarks</u> :   |  |  |  |  |  |
| SIGNATURE (   | OF APPLICANT, ATTORNEY OR AG   | ENT  |  |  |  |  |
| Firm or Individual Signature  Date  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  August 5, 2004  |  |  |  |  |  |  |
| CERTIFICATE OF TRANSMISSION / MAILING   |  |  |  |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,  VA 22313-1450 on this date:  Name (Print/Type)  Fran Petrillo |  |  |  |  |  |  |
| Signature Franketil   | ls .   | Date August 5, 2004  |  |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

PTO/SB/17 (10-03) (AW 10/2003)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

\*\*or number previously paid, if greater; For Reissues, see above

Complete if Known 10/809,775 Application Number March 25, 2004 Filing Date First Named Inventor Mutsuko NICHOGI, et al. To Be Assigned **Examiner Name** 2173 Art Unit

**MAT-8526US** TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 130.00 FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Large Entity Small Entity Order Fee Fee Fee Description Deposit Account: : (USE AS BACKUP ONLY) Code (\$) Code (\$) Paid 1051 130 2051 65 Surcharge - late filing fee or oath 130. 18-0350 Accoun 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet Deposit Verification of a Translation and English RatnerPrestia 1053 130 1053 130 specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below 1804 920\* Requesting publication of SIR prior to 1804 920 Examiner action Credit any overpayments 1,840\* 1805 Charge any additional fee(s) during the pendency of this application 1805 1,840 Requesting publication of SIR after Examiner action Charge fee(s) indicated below, except for the filing fee to the Extension for reply within first month above-identified deposit account. 1251 2251 55 110 2252 Extension for reply within second month 1252 420 210 **FEE CALCULATION** 1253 950 2253 475 Extension for reply within third month BASIC FILING FEE 1. Large Entity Small Entity 1254 1.480 2254 740 Extension for reply within fourth month Fee Fee Fee Fee Description Fee 1255 2,010 2255 1,005 Extension for reply within fifth month Fee Paid Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 1002 2002 Design filing fee 340 170 1402 330 2402 165 Filing a brief in support of an appeal 1003 530 2003 265 Plant filing fee 2403 Request for oral hearing 1403 290 145 1004 750 2004 385 Reissue filing fee 1451 1,510 1451 Petition to institute a public use proceeding 1005 160 2005 Provisional filling fee 1,510 80 1452 110 2452 55 Petition to revive - unavoidable (\$)0 SUBTOTAL (1) 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Extra Fee from Fee Claims Paid 1502 480 2502 240 Design issue fee Total Claims 0 Х = 0 -20\*\* 1503 640 2503 320 Plant issue fee ndependent 0 0 Claims 1460 1460 130 130 Petitions to the Commissioner Multiple 0 1807 50 1807 50 Processing fee under 37 CFR 1.17(g) Dependent Submission of Information Disclosure Stmt Large Entity **Small Entity** 1806 180 1806 180 Fee Fee Fee Recording each patent assignment per **Fee Description** 8021 40 8021 40 Code (\$) Code (\$) property (times number of properties) 1202 18 2202 9 Claims in excess of 20 Filing a submission after final rejection (37 770 2809 385 1809 1201 86 2201 43 Independent claims in excess of 3 CFR § 1.129(a)) For each additional invention to be 1810 770 2810 385 1203 2203 145 Multiple dependent claim, if not paid 290 examined (37 CFR § 1.129(b)) \*\* Reissue independent claims over 1204 86 2204 43 original patent 1801 770 2801 385 Request for Continued Examination (RCE) \*\* Reissue claims in excess of 20 and 1205 18 2205 Request for expedited examination of a design 1802 900 1802 900 over original patent application SUBTOTAL (2) (\$) 0Other fee (specify)

| SUBMITTED BY      |                    |  | Complete (if applicable)    |   |
|-------------------|--------------------|--|-----------------------------|---|
| Name (Print/Type) | Lawrence E. Ashery | Registration No. Attorney/Agent) 34,51 | .5 Telephone (610) 407-0700 | _ |
| Signature         | Munc               | 1 (11/1)                               | Date August 5, 2004         |   |

\*Reduced by Basic Filing Fee Paid

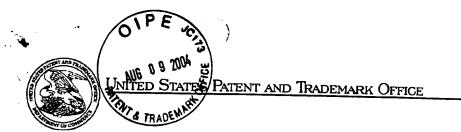
SUBTOTAL (3)

(\$) 130.00

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

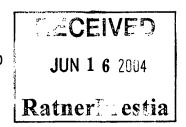
10/809,775

03/25/2004

Mutsuko Nichogi

MAT-8526US

23122 RATNERPRESTIA P O BOX 980 VALLEY FORGE, PA 19482-0980



CONFIRMATION NO. 7024
FORMALITIES LETTER
\*OC000000012934690\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

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